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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER P60497US00 U.S. APPLICATION NO. 10/520 950 (see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/GB2003/002968	INTERNATIONAL FILING DATE July 8, 2003	PRIORITY DATE CLAIMED July 11, 2002
TITLE OF INVENTION Access Controlled Optical Disc and Method Therefor		
APPLICANT(S) FOR DO/EO/US Alistair John KNOX and Arie Marinus WIJNEN		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Rule 4.17(iv) Declaration filed and accepted by WIPO 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input checked="" type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Return Postcard, Check, Express Mail Certificate 		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/520950		INTERNATIONAL APPLICATION NO. PCT/GB2003/002968		ATTORNEY'S DOCKET NUMBER P60497US00	
21. The following fees are submitted:					
<input checked="" type="checkbox"/> a) Basic national fee.....				\$300.00	\$ 300.00
<input checked="" type="checkbox"/> b) Examination fee.....				\$200.00	\$ 200.00
<input checked="" type="checkbox"/> c) Search fee.....				\$500.00	\$ 500.00
TOTAL OF ABOVE CALCULATIONS =				\$1000.00	\$ 1000.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE	
- 100 =	/50 =			x \$250.00	\$ 0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$ 0.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		\$ 1000.00
Total claims	38 - 20 =	18	x \$50.00		\$ 900.00
Independent claims	3 - 3 =	0	x \$200.00		\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00	\$ 0.00
TOTAL OF ABOVE CALCULATIONS =					\$ 1900.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$ 950.00
SUBTOTAL =					\$ 950.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$ 0.00
TOTAL NATIONAL FEE =					\$ 950.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$ 0.00
TOTAL FEES ENCLOSED =					\$ 950.00
					Amount to be refunded: \$
					Amount to be charged: \$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>950.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>08-1394</u> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-1394</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Timothy F. Bliss Haynes and Boone, LLP 901 Main Street, Suite 3100 Dallas, Texas 75202 [972] 739-8638 Direct Telephone [214] 200-0853 Facsimile</p>					
					<p><u>Timothy F. Bliss</u> SIGNATURE</p> <p>Timothy F. Bliss NAME</p> <p>50,925 REGISTRATION NUMBER</p>

10/520950

5 Rec'd PCT/PTO 11 JAN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Knox et al.

Serial No. US Natl PCT/GB2003/002968

Filed: January 11, 2005

For: Access Controlled Optical Disc and
Method Therefor§
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Group Art Unit: To be Determined

Examiner: To be Determined

Attorney Docket: P60497US00

Customer Number: 000027683

EXPRESS MAIL CERTIFICATE

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

MAIL STOP PCT - ATTENTION: DO/EO/US

Express Mail Number: EV369679356USDate of Deposit: January 11, 2005

I hereby certify that the following attached papers and fee:

1. Transmittal Letter to the US Designated/Elected Office concerning a filing under 35 USC 371 PTO-1390 (in duplicate);
2. Substitute Specification (21 pages);
3. Application Data Sheet (3 pages);
4. a check in the amount of \$950.00;
5. Information Disclosure Statement, PTO-1449 Form with copies of cited art; and
6. A Return Postcard

are being deposited with United States Postal Service Express Mail Post Office to Addressee service under 37 CFR §1.10 on the date indicated above to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Signature


Gayle Conner

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